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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/612,174	07/02/2003	Robert K. Reich	MIT8806L	5221

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Theresa A. Lober  
T.A. Lober Patent Services  
45 Walden Street  
Concord, MA 01742

EXAMINER
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QUINTO, KEVIN V

ART UNIT	PAPER NUMBER
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2826

DATE MAILED: 01/27/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/612,174

Applicant(s)

REICH ET AL.

Examiner

Kevin Quinto

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 01 November 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 1 November 2004.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments with respect to claims 1-24 have been considered but are moot in view of the new ground(s) of rejection.
2. In light of the amendment made to the title, the examiner hereby withdraws the objection made to the specification in the previous Office action.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1-24 are rejected under 35 U.S.C. 102(e) as being anticipated by Reich et al. ("High-Fill-Factor, Burst-Frame-Rate Charge-coupled Device," International Electron Device Meeting and IEDM Technical Digest, pp. 567-570, December 2001).
5. In reference to claims 1 and 9, Reich et al. ("High-Fill-Factor, Burst-Frame-Rate Charge-coupled Device," International Electron Device Meeting and IEDM Technical Digest, pp. 567-570, December 2001, hereinafter referred to as the "Reich" reference) discloses a similar charge-coupled imager for imaging a sequence of image frames. Figure 2 of Reich illustrates an array of super pixels disposed in a semiconductor

substrate having a surface that is accessible to incident illumination. Each super pixel has a plurality of independently-controlled subpixels. The control electrode comprises three electrodes which correspond to three-phase photogenerated charge collection. Reich discloses the use of a subpixel which utilizes a doped charge collection control layer in the substrate (p. 569, which refers to using the charge collection control layer disclosed in Reich et al., "Integrated Electronic Shutter for Back-Illuminated Charge-coupled Devices," IEEE Transactions on Electron Devices, Vol. 40, No. 7, pp. 1231-1237, July 1993, hereinafter referred to as the "Reich 93" reference). Reich 93 discloses a CCD which utilizes a doped charge collection control layer in the substrate. Figures 1(a)-1(b) of Reich 93 show a CCD with a doped photogenerated charge collection channel region which is opposite the illumination accessible substrate surface. There is a charge collection channel region control electrode. The control electrode comprises three electrodes which correspond to three-phase photogenerated charge collection. Doped charge drain regions are adjacent to the channel region. There is also a charge drain region control electrode. There is a doped charge collection control layer in the substrate below the charge collection channel region. The charge collection channel region, the charge drain regions, and the charge collection control layer are each characterized by a dopant type and its concentration for expanding the charge collection channel region in response to a charge collection control voltage applied to the channel region control electrode in order to collect in the charge collection channel region photogenerated charge during its designated image frame. Furthermore the charge collection channel region contracts in response to a

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charge storage control voltage applied to the channel region control electrode in order to store the collected photogenerated charge in the charge collection channel region and collect substantially no additional photogenerated charge.

6. With regard to claims 2 and 3, Reich 93 discloses the use of a  $p^-$  substrate, an  $n$ -type charge collection channel region,  $n^+$  charge drain regions, and a  $p^+$  charge collection control layer.

7. In reference to claim 4, the charge collection channel region comprises a buried channel disposed adjacent to a substrate surface opposite the illumination-accessible substrate surface.

8. With regard to claim 5, the charge collection control layer includes first sections that are at a first depth in the substrate and located under a first section of the charge collection channel region and all of the charge drain regions. The charge collection control layer includes second sections that are at a second depth in the substrate different from the first depth and located under a second section of the charge collection channel region.

9. In reference to claims 6 and 7, figure 4(a) of Reich 93 shows that charge collection control voltage is selected to expand a depletion region of the charge collection channel region into the substrate to a depth greater than the depth of the charge collection control layer. In addition, figure 4(b) of Reich 93 shows that charge collection control voltage is selected to contract a depletion region of the charge collection channel region into the substrate to a depth less than the depth of the charge collection control layer.

10. With regard to claim 8, the illumination-accessible substrate surface is a back side of the substrate while the control electrodes are disposed on the front side of the substrate.

11. In reference to claim 10 and 15, Reich ("High-Fill-Factor, Burst-Frame-Rate Charge-coupled Device," International Electron Device Meeting and IEDM Technical Digest, pp. 567-570, December 2001) discloses a similar charge-coupled imager for imaging a sequence of image frames. Figure 2 of Reich illustrates an array of super pixels disposed in a semiconductor substrate having a surface that is accessible to incident illumination. Each super pixel has a plurality of independently-controlled subpixels. The control electrode comprises three electrodes which correspond to three-phase photogenerated charge collection. Reich discloses the use of a subpixel which utilizes a doped charge collection control layer in the substrate (p. 569, which refers to using the charge collection control layer disclosed in Reich 93, "Integrated Electronic Shutter for Back-Illuminated Charge-coupled Devices," IEEE Transactions on Electron Devices, Vol. 40, No. 7, pp. 1231-1237, July 1993). Reich 93 discloses a CCD which utilizes a doped charge collection control layer in the substrate. Figures 1(a)-1(b) of Reich 93 show a CCD with a doped photogenerated charge collection channel region which is opposite the illumination accessible substrate surface. There is a charge collection channel region control electrode. Doped charge drain regions are adjacent to the channel region. There is also a charge drain region control electrode. There is a doped charge collection control layer in the substrate below the charge collection channel region. The charge collection channel region, the charge drain regions, and the

charge collection control layer are each characterized by a dopant type and its concentration for expanding the charge collection channel region in response to a charge collection control voltage applied to the channel region control electrode in order to collect in the charge collection channel region photogenerated charge during its designated image frame. Furthermore the charge collection channel region contracts in response to a charge storage control voltage applied to the channel region control electrode in order to store the collected photogenerated charge in the charge collection channel region. Each device has a channel region control voltage connection on a substrate surface opposite the illumination-accessible surface which is configured for independent collection and storage of photogenerated charge from the substrate at the charge collection channel region.

12. In reference to claim 11, Reich 93 shows that a drain region control voltage collection is provided to each device or subpixel opposite the illumination accessible surface. The drain region control voltage collection can be configured for drainage of photogenerated charge from the substrate to a subpixel drain region in response to a drain region control signal.

13. In reference to claims 12 and 13, the Reich 93 device has a channel region control voltage which can be configured for collection and storage of photogenerated charge from the substrate at the charge collection channel region. Furthermore, the channel region control voltage can be configured for subpixel-specific weighting of collection and storage of photogenerated charge from the substrate at each subpixel.

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Reich shows that at least two subpixels are functioning during a corresponding frame in figure 3.

14. With regard to claim 14, the Reich 93 device has a channel region control voltage collection which can be configured for control of the correspondence between the subpixels and the image frames.

15. With reference to claim 16, Reich discloses the use of metal for the control signal lines (p. 568) in figure 2.

16. In reference to claim 17, figure 2 of Reich illustrates the use of semiconducting isolation lines between the control signal lines, the charge collection channel region control electrodes, and the drain control electrodes.

17. In reference to claims 18-20, figure 1 of Reich discloses the use of a serial output register and a column binning register in the substrate to accept a sequence of image frame charge from each super pixel after the image frame sequence is collected and stored at each super pixel.

18. In reference to claims 21-24, Reich ("High-Fill-Factor, Burst-Frame-Rate Charge-coupled Device," International Electron Device Meeting and IEDM Technical Digest, pp. 567-570, December 2001) discloses a similar charge-coupled imager for imaging a sequence of image frames. Figure 2 of Reich illustrates an array of super pixels disposed in a semiconductor substrate having a surface that is accessible to incident illumination. Each super pixel has a plurality of independently-controlled subpixels. The control electrode comprises three electrodes which correspond to three-phase photogenerated charge collection. The number of subpixels included in each super



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pixel are selected based on the length of the image frame sequence and image rate. In addition, the subpixels collect and store at the super pixel each frame of the image frame sequence before transferring the image frame sequence from the super pixel. Figure 3 of Reich shows that at least two subpixels are functioning during a corresponding frame. Reich discloses the use of a subpixel which utilizes a doped charge collection control layer in the substrate (p. 569, which refers to using the charge collection control layer disclosed in Reich 93, "Integrated Electronic Shutter for Back-Illuminated Charge-coupled Devices," IEEE Transactions on Electron Devices, Vol. 40, No. 7, pp. 1231-1237, July 1993). Reich 93 discloses a CCD which utilizes a doped charge collection control layer in the substrate. Figures 1(a)-1(b) of Reich 93 show a CCD with a doped photogenerated charge collection channel region which is opposite the illumination accessible substrate surface. There is a charge collection channel region control electrode. Doped charge drain regions are adjacent to the channel region. There is also a charge drain region control electrode. There is a doped charge collection control region in the substrate below the charge collection channel region.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin Quinto whose telephone number is (571) 272-1920. The examiner can normally be reached on M-F 8AM-5PM.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nathan Flynn can be reached on (571) 272-1915. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

KVQ

  
NATHAN J. FLYNN  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2800